



SBI China Capital
軟庫中華

SBI China Capital Financial Services Limited
軟庫中華金融服務有限公司
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Fees and Charges Schedule 服務收費簡介

Hong Kong Securities: Trade Related 本地證券：交易

Items 項目	Services Charges 收費	Min 最低	Max 最高
Streaming Services 串流報價	Monthly 每月 \$273	HK\$273	---
Brokerage Fee 經紀佣金	0.25% of transaction amount 成交金額的 0.25%	HK\$50	---
Trading Fee (collected by HKEx) 交易費 (由港交所收取)	0.005% of transaction amount 成交金額的 0.005%	---	---
Transaction Levy (collected by SFC) 交易徵費 (由證監會收取)	0.0027% of transaction amount 成交金額的 0.0027%	---	---
CCASS Fee 中央結算系統收費	0.01% of transaction amount 成交金額的 0.01%	HK\$3	HK\$300
Stamp Duty (collected by Government of the HKSAR) 印花稅 (由香港特區政府收取)	每宗交易金額 0.13%	HK\$1	---

Hong Kong Securities: Settlement & Custody Related 本地證券：交收及託管

Physical Scrip Deposit 實物股票存入 (collected by the Government 由政府收取)	HK\$5 for each transfer deed (Stamp Duty) 每張轉手紙 HK\$5 (釐印費)	---	---
Physical Scrip Withdrawal 實物股票提取	HK\$5 per lot 每手 HK\$5	---	---
Receive through CCASS (SI/ISI) 經 CCASS (SI/ISI) 存入	Free 免費		
Delivery through CCASS (SI/ISI) 經 CCASS (SI/ISI) 提取：			
1) CCASS SI/ISI Fee 中央結算系統收費	0.01% of the Market Value 市值的 0.01%	HK\$3	HK\$300
2) Handling Fee 手續費	0.15% of the Market Value 市值的 0.15%	HK\$30	---
	<small>* Market Value is determined by closing price of last trading date 市值以上日收市價計算</small>		
Custody Fee 托管費	HK\$0.1 per lot 每手 HK\$0.1	HK\$50	---
	<small>* Charge in every 6 months 每半年收取一次</small>		
Scrip Fee 過戶登記費	HK\$2.5 per lot 每手 HK\$2.5	---	---
IPO Subscription 新股認購費	每次 HK\$100	---	---
Collection of Dividends 代收股息費	0.5% of dividend amount 股息金額的 (Depending on Currency)	HK\$20	HK\$10,000
Collection of Bonus Shares/Rights/Offer 代收紅股/供股權/要約	HK\$5 per lot 每手 HK\$5	HK\$30	HK\$100
Rights Subscription/Offer Exercise 行使供股權/認購權	Handling Fee HK\$100, and HK\$1 per lot 手續費 HK\$100 及 每手 HK\$1	---	---

Bonds Custody Related 定息債券託管

Custody Fee 托管費	Monthly 每月 US\$20	---	---
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Shanghai-Hong Kong Stock Connect / Shenzhen-Hong Kong Connect: Trade Related 滬港通 / 深港通證券：交易

Items 項目	Services Charges 收費	Min 最低	Max 最高
Brokerage Fee 經紀佣金	0.25% of transaction amount 成交金額的 0.25%	CNY100	---
Handling Fee (collected by SSE/SZS) 經手費 (由上海證券交易所/深圳證券交易所收取)	0.00487% of transaction amount 成交金額的 0.00487%	---	---
Transfer Fee 過戶費 (collected by ChinaClear Shanghai/ChinaClear Shenzhen) (由中國結算上海/中國結算深圳收取)	0.002% of transaction amount 成交金額的 0.002%	---	---
Securities Management Fee (collected by CSRC) 證管費 (由中國證監會收取)	0.002% of transaction amount 成交金額的 0.002%	---	---
Transfer Fee (collected by HKSCC) 過戶費 (由香港結算收取)	0.002% of transaction amount 成交金額的 0.002%	---	---
Portfolio Fee (accrue daily and collect in monthly basis) 投資組合費 (每日計算·按月收取)	Daily stock portfolio value × 0.008% ÷ 365 持有股值 × 0.008% ÷ 365 日	---	---

Settlement & Custody Related: ZH-HK Stock Connect / SZ-HK Connect 滬港通 / 深港通證券：交收及託管

Receive through CCASS 經中央結算系統存入	Free 免費	---	---
Delivery through CCASS 經中央結算系統提取	0.0045% of the Market Value 市值的 0.0045% <small>* Market Value is determined by closing price of last trading date 市值以上日收市價計算</small>	CNY32	CNY400
Investor Account receive through CCASS 投資者戶口存入	Free 免費	---	---
Investor Account receive through CCASS 投資者戶口提取	0.0025% of the Market Value 市值的 0.0045% <small>* Market Value is determined by closing price of last trading date 市值以上日收市價計算</small>	CNY30	CNY300
Collection of Dividends 代收股息費	0.5% of dividend amount 股息金額的 0.5%	CNY20	---
Collection of Bonus Shares/Rights/Offer 代收紅股/供股權/要約	CNY5 Per Lot 每手 CNY5	CNY10	---
Rights Subscription/Offer Exercise 行使供股權/認購權	Handling Fee CNY100, and CNY1 per lot 手續費 CNY100 及 每手 CNY1	---	---

US Securities: Trade Related 美國證券：交易

Items 項目	Services Charges 收費	Min 最低	Max 最高
Brokerage Fee 經紀佣金	0.25% of transaction amount 成交金額的 0.25%	USD16	---
SEC Fee 美國證交費	0.00221% of transaction amount 成交金額的 0.00221% <small>* Applicable to sell transaction only 只適用於沽盤</small>	USD0.01	---
ADR Fee 預託證券費 (collected by issuers of the ADR 由預託證券發行商收取)	USD0.01~0.05 per share 每股 USD0.01~0.05 <small>* Charge in book closure period 於截止過戶期間收取</small>	---	---

US Securities: Settlement & Custody Related 美國證券：交收及託管

Collection of Dividends 代收股息費	5% of dividend amount 股息金額的 5%	USD20	---
Withholding Tax 預扣所得稅 (collected by IRS US 由美國國家稅務局收取)	30% of dividend amount 股息金額的 30%	---	---

CA Securities: Trade Related 加拿大證券：交易

Items 項目	Services Charges 收費	Min 最低	Max 最高
Brokerage Fee 經紀佣金	1.2% of transaction amount 成交金額的 1.2%	CAD20	---
Stock Transfer Fee 轉貨費	CAD70 Per stock	CAD70	---
Physical Stock Withdrawal Fee 實物提貨費	CAD70 Per stock	CAD70	

MY Securities: Trade Related 馬來西亞證券：交易

Items 項目	Services Charges 收費	Min 最低	Max 最高
Brokerage Fee 經紀佣金	0.25% of transaction amount 成交金額的 0.25%	MYR 70	---

SG Securities: Trade Related 新加坡證券：交易

Items 項目	Services Charges 收費	Min 最低	Max 最高
Collection of Dividends 代收股息費	1% of dividend amount 股息金額的 1%	SGD 10	---
Brokerage Fee 經紀佣金	0.25% of transaction amount 成交金額的 0.25%	SGD 20	---

Cash Withdrawal & Other Services 現金提款及其他服務

Items 項目	Services Charges 收費
Cheque Withdrawal 支票提款	Free 免費
Telegraphic Transfer (T/T) 電匯	HK\$650
Withdrawal by Clearing House Automated Transfer System (CHATS) 以 CHATS 方式提款	HK\$400
Charges on Late Payment 逾期付款費用	Banks Prime Rate + 8% and Handling Fee of 8% per annum 銀行最優惠利率 + 8%及年利率 8%手續費
Return Cheque 退票	HK\$100 per cheque 每張退票收取 HK\$100 手續費
Statement Copy Retrieval 重發月結單	Past 1 Year 過去一年內: HK\$50/Copy Past 1 to 3 Years 過去一年至三年: HK\$100/Copy Past 3 to 6 Years 過去三年至六年: HK\$500/Copy

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